



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT EXAMINING OPERATION

Applicants: Yang T. SHIEH

Serial No: 09/723,697

Group Art Unit: 1733

Filed: November 28, 2000

Examiner: Jeff H. Aftergut

Att. Docket No.: A1114/20006

Confirmation No.: 7614

For: A COVERED ROLL HAVING AN UNDER-LAYER FORMED OF  
RESIN INFUSED DENSELY PACKED FIBERS THAT PROVIDES  
INCREASED STRENGTH AND ADHESION PROPERTIES

**REQUEST FOR CORRECTION OF SMALL ENTITY STATUS AND  
FOR ACCEPTANCE OF SMALL ENTITY ISSUE FEE**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The Applicant in the above-referenced application is a small entity. A Verified Statement setting forth this status was executed on May 17, 2001 and previously filed in the instant application. A copy of the Verified Statement is attached. Additionally, in response to a previous Patent Office error on this subject a Request for Refund under 1027 O.G. 115 was filed in the above-referenced case on May 25, 2001. A copy of this Request is also attached.

Applicant has now received a Notice of Allowance and Issue Fee due wherein the large entity fee of \$1330 is indicated as payable. Since the Applicant is entitled to small entity status, and has properly requested such status, the Applicant's representative respectfully requests that the Patent Office accept the small entity fee of \$665 as the issue fee.

Attorney Docket No. A1114/20006  
Customer No. 03000  
PATENT

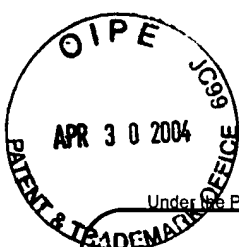
Respectfully submitted,

CAESAR, RIVISE, BERNSTEIN,  
COHEN & POKOTILOW, LTD.

April 28, 2004

Please charge or credit our Account  
No. 03-0075 as necessary to effect  
entry and/or ensure consideration of  
this submission.

By   
Frank M. Linguiti  
Registration No. 32,424  
Customer No. 03000  
(215) 567-2010  
Attorneys for Applicant



SUBSTITUTE PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number 09/723,697

Filing Date November 28, 2000

First Named Inventor Yang T. SHIEH

Art Unit 1733

Examiner Name Jeff H. Aftergut

Total Number of Pages in This Submission

13

Attorney Docket Number A1114/20006

**ENCLOSURES** (Check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form (In duplicate)	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	-Request for Correction of Small Entity Status w/copy of Verified Statement
<input type="checkbox"/> Information Disclosure Statement	<input checked="" type="checkbox"/> Copy of Request for Refund, submitted on May 25, 2001	-Issue Fee Transmittal Form (Part B)
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	*Also enclosed is a Return Receipt Postcard	
<input type="checkbox"/> Assignment and Cover Sheet	**SEE ATTACHED FEE TRANSMITTAL SHEET	

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	CAESAR, RIVISE, BERNSTEIN, COHEN & POKOTILOW, LTD. Frank M. Linguiti, Esq., Reg. No. 32,424
Signature	<i>Frank Linguiti</i>
Date	April 28, 2004

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name Frank M. Linguiti, Esq.

Signature *Frank Linguiti*

Date April 28, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



114/20006

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT EXAMINING OPERATION**

Applicant : YANG T. SHIEH  
Serial No. : 09/723,697  
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For : A COVERED ROLL HAVING AN UNDER-  
LAYER FORMED OF RESIN INFUSED  
DENSELY PACKED FIBERS THAT  
PROVIDES INCREASED STRENGTH AND  
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**SUBMISSION OF DECLARATION FOR PATENT APPLICATION  
AND VERIFIED STATEMENTS CLAIMING SMALL ENTITY STATUS**

Box MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

Responsive to the Office letter dated March 26, 2001 (copy enclosed), Applicant  
encloses the following documents which should be placed in the file of this application:

- (1) Declaration for Patent Application;
- (2) Verified Statement Claiming Small Entity  
Status (37 CFR 1.9(f) and 1.27(b) -  
Independent Inventor); and

(3) Verified Statement Claiming Small Entity  
Status (37 CFR 1.9(f) and 1.27(c) - Small  
Business Concern.

Since Applicant is filing the Declaration for this patent application, along with Verified Statements Claiming Small Entity Status, the United States Patent and Trademark Office is authorized to charge our Account No. 03-0075 the sum of Sixty-five Dollars (\$65.00) or any other fee as the surcharge appropriate herein. A duplicate copy of this document is enclosed herewith.

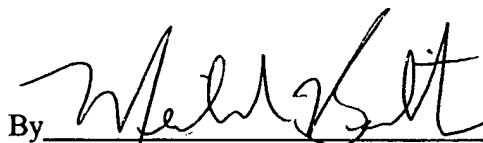
Also attached hereto, in duplicate, is the Request for Refund under 1027 O.G. 115.

We understand that you will forward this to the proper department.

Respectfully submitted,

CAESAR, RIVISE, BERNSTEIN,  
COHEN & POKOTILOW, LTD.

Date 5/25/01

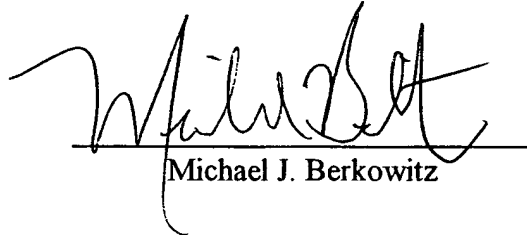
By 

Michael J. Berkowitz  
Registration No. 39,607  
12th Floor, 7 Penn Center  
1635 Market Street  
Phila., PA 19103-2212  
(215) 567-2010



CERTIFICATE OF MAILING

I hereby certify that the foregoing SUBMISSION OF DECLARATION FOR PATENT APPLICATION AND VERIFIED STATEMENTS CLAIMING SMALL ENTITY STATUS, in duplicate, and attached Declaration for Patent Application and Verified Statements Claiming Small Entity for the Independent Inventor and Small Business Concern, re application Serial No. 09/723,697 are being deposited with the United States Postal Service as Express Mail, in an envelope addressed to: Box MISSING PARTS, Commissioner for Patents, Washington, D.C. 20231, this 25th day of May, 2001.

  
Michael J. Berkowitz